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Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Application No. 10/050,648 TRANSMITTAL FORM Filing Date January 16, 2002 (to be used for all correspondence after initial filing) First Named Inventor Dave Hamilton Group Art Unit 2131 Examiner Name Unassigned Total Number of Pages in This Submission 13 Attorney Docket Number 3992P004X **ENCLOSURES** (check all that apply) After Allowance Communication to Group Assignment Papers (for an Application) Fee Transmittal Form Appeal Communication to Board of Appeals and Interferences Fee Attached Drawing(s) Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition **Proprietary Information** Affidavits/declaration(s) Petition to Convert a Provisional Application Status Letter **Extension of Time Request** Other Enclosure(s) Power of Attorney, Revocation Change of Correspondence Address (please identify below): **Express Abandonment Request Terminal Disclaimer** Six Prior Art References Information Disclosure Statement Request for Refund Certified Copy of Priority Document(s) RECEIVED Response to Missing Parts/ Incomplete Application CD, Number of CD(s) APR 2 2 2002 Remarks Technology Center 2100 Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Eric T. King, Reg. No. 44,188 Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature April 10, 2002 Date CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: April 10, 2002 Typed or printed name Susan McFarlane

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

April 10, 2002

Signature

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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CEM FEE TRANSMITTAL	Complete if Known		
	Application Number	10/050,648	
for FY 2002	Filing Date	January 16, 2002	
Patent fees are subject to annual revision.	First Named Inventor	Dave Hamilton	
Applicant claims small entity status. See 37 CFR 1.27.	Examiner Name	Unassigned	
	Group/Art Unit	2131	
TOTAL AMOUNT OF PAYMENT (\$)	Attorney Docket No.	3992P004X	

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METHOD OF PAYMENT (check one)				FE	E CALCULATION (continued)	
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. AE	DITIO	NAL	FEES	5	
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Deposit Account	Fee	Fee	Fee	Fee	<u>-</u>	
Deposit	Code	(\$)	Code	(\$)	Fee Description	Fee Paid
Account Number 02-2666	105	130	205	65	Surcharge - late filing fee or oath	
Deposit	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	139	130	139	130	Non-English specification	
The Commissioner is authorized to: (check all that apply)	147	2,520	147	2,520	For filing a request for ex parte reexamination	
	112	920*	112	920	* Requesting publication of SIR prior to	
	1				Examiner action	1
Charge any additional fee(s) during the pendency of the application	113	1,840*	113	1,840	* Requesting publication of SIR after	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account		440	045		Examiner action	
	115	110	215	55	Extension for reply within first month	
FEE CALCULATION	116	400	216	200	Extension for reply within second month	.,,-
1. BASIC FILING FEE	117	920	217	460	Extension for reply within third month Future in for polywithin fourth month	IVEL
Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Pairl	118	1,440	218	720	Extension for reply within fourth month	1
Fee Fee Fee Fee Fee Description Fee Paid Code (5) Code (5)	128	1,960	228	980	Extension for reply within fifth month APR 2	2 2002
101 740 201 370 Utility filing fee	119	320	219	160	Notice of Appear	
106 330 206 165 Design filing fee	120	320	220	160	Filing a brief in support of an appeal Technology	Center 2
107 510 207 255 Plant filing fee	121	280	221	140	Request for oral hearing	
108 740 208 370 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
114 160 214 80 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$)	141	1,280	241	640	Petition to revive - unintentional	
SUBICIAL (1) (5)	142	1,280	242	640	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra Fee from	143	460	243	230	Design issue fee	
Claims below Fee Paid	144	620	244	310	Plant issue fee	
Total Claims 46 _ ** = X	122	130	122	130	Petitions to the Commissioner	
Independent	123	50	123	50	Processing fee under 37 CFR 1.17(q)	
Multiple Dependent =	126	180	126	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity	581	40	581	40	Recording each patent assignment per	
Fee Fee Fee Fee Description					property (times number of properties)	
Code (5) Code (5)	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
103 18 203 9 Claims in excess of 20	140	740	240	270		<u> </u>
102 84 202 42 Independent claims in excess of 3	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	l
104 280 204 140 Multiple Dependent claim, if not paid	179	740	279	370	Request for Continued Examination (RCE)	
109 84 209 42 **Reissue independent claims over original patent	169	900	169	900	Request for expedited examination	
110 18 210 9 **Reissue claims in excess of 20 and over	Other fee				of a design application	
original patent	05.0.766	(Spoor)				
SUBTOTAL (2) (\$)	l					
**or number previously paid, if greater, For Reissues, see below	*Reduced by	y Basic Filing	Fee Paid	j	SUBTOTAL (3) (\$)	
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SUBMITTED BY			- A1-	_	Complete (if applicate	ole)

SUBMITTED B	Y		Complete (if applicable)			
Name (Print/Type)	Eric T. King	Registration No. (Attorney/Agent)	44,188	Telephone	(714) 557-3800	
Signature	En 900			Date	04/10/02	

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Docket No.: 3992P004X



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the Application of:

DAVE HAMILTON, ET AL.

Application No.: 10/050,648

Filed: January 16, 2002

For: System, Device, and Method for Providing

Secure Electronic Commerce Transactions

Art Group: 2131

Examiner: Unassigned

RECEIVED

APR 2 2 2002

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: April 10, 2002

Eric T. King, Reg. No. 44,188

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (714) 557-3800 **CERTIFICATE OF MAILING**

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Susan McFarlane

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04/10/02 **Date**

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Substitute	tor Form	1449A/PTO	(Modified)

STATEMENT BY APPLICANT

of

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APR 1 8 2002 (as many sheets as necessary)

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Complete if Known					
Application Number	10/050,648				
Filing Date	01/16/2002				
First Named Inventor:	Hamilton				
Group Art Unit	2131				
Examiner Name	Unassigned				
Attorney Docket Number	003992P004X				

U.S. PATENT DOCUMENTS Cite No.1 Name of Patentee or Applicant Date of Publication of Pages, Columns, Lines. Exam. U.S. Patent Document of Cited Document Cited Document Where Relevant Initial* Kind Number MM-DD-YYYY Passages or Relevant Code² (If known) Figures Appear Doidic, et al. 08/04/98 5.789.689 5,905,736 Ronen, et al. 05/18/99 RECEIVED APR 2 2 2002 Technology Center 2100

Exam. Initial*	Cite No. ¹	Forei Office ³	gn Patent D Number⁴	Occument Kind Code ⁵ (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T

Examiner		Date Considered	
Signature			

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Substitute for Fo	rm 1449A/PTO (Modifi	ed)	Complete if Known			
INFORM	MATION DISC	CLOSURE	Application Number	10/	/050,648	
			Filing Date	01/	/16/2002	
			First Named Inventor:	Ha	milton	
(us	Filing Date Filing Date Filing Date First Named Inventor: Group Art Unit Examiner Name Unassigned Unassigned OTHER ART - NO PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published TOM SHELDON, Encyclopedia of Networking, Electronic Edition, pgs. 324-329, Osborne/McGraw-Hill, 1998. Line 6, Amp Farm, The Guitar Recording Plug-In for TDM-Equipped Pro Tools Systems.					
		<u> </u>	Examiner Name	Un	assigned	
Sheet 2	of	2	Attorney Docket Number	00:	3992P004X	
	отні	ER ART – NO PATE	NT LITERATURE DOCUME	ENTS		
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